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PATENT APPLICATION TRANSMITTAL LETTER

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Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s) : Clois E. Powell, James F. Gadberry and Michael Hoey

For : ORGANOCLAY COMPOSITIONS PREPARED FROM ESTER
QUATS AND COMPOSITES BASED ON THE COMPOSITIONS

Enclosed are:

- ☒ 6 sheets of informal drawings
- ☐ An Assignment of the invention, to:
- ☐ A certified copy of a _____ application.
- ☐ An Information Disclosure Statement, Form PTO 1449 and cited references.
- ☐ A Verified Statement to establish Small Entity status under 37 C.F.R. 1.9 and 37 C.F.R. 1.27.
- ☒ ☐ Executed or ☒ Unexecuted Declaration and Power of Attorney.
- ☒ A Filing Date as of the date of deposit in Express Mail is requested. The particulars of the Express Mail Deposit under 37 C.F.R. 1.10(b) are presented below.

EXPRESS MAIL "MAILING LABEL NO." : EL424845396US

DATE OF DEPOSIT : October 21, 1999

The Filing Fee has been calculated as shown below:

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FOR:	NO. FILED	NO. EXTRA	RATE	FEE	O R	RATE	FEE
BASIC FEE				\$ 380	O R		\$ 760
TOTAL CLAIMS	30 - 20 =	10	X \$9 =	\$	O R	X \$18 =	\$ 180
INDEP CLAIMS	3 - 3 =	0	X \$39 =	\$	O R	X \$78 =	\$ 0
[] MULTIPLE DEPENDENT CLAIM PRESENTED			X \$130	\$	O R	X \$260	\$
			TOTAL	\$	O R	TOTAL	\$ 940

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- ☐ Any Filing Fees under 37 C.F.R. 1.16 for presentation of extra claims.
- ☐ I hereby state that the content of the paper and computer readable copies of the Sequence Listing submitted in accordance with 37 CFR §1.821(c) and (e), respectively, are the same.

Respectfully submitted,



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As utilized in the present specification, the term "smectite" or "smectite-type clays" refers to the general class of clay minerals with expanding crystal lattices, with the exception of vermiculite. This includes the dioctahedral smectites which consist of montmorillonite, beidellite, and nontronite, and the trioctahedral smectites, which includes saponite, hectorite, and sauconite. Also encompassed are smectite-clays prepared synthetically, e.g. by hydrothermal processes as disclosed in U.S. Patents Nos. 3,252,757; 3,586,468; 3,666,407; 3,671,190; 3,844,978; 3,844,979; 3,852,405; and 3,855,147.

In addition to their functions as thixotropes, organoclays find numerous other applications. Of particular interest for present purposes are composite materials composed of an organic polymer and a smectite-type clay mineral, with the mineral being connected to the polymer through ionic or other bonding. Prior art pertinent to such composites include U.S. Patent No. 2,531,3963, published November 28, 1950, wherein a reinforced elastomer is disclosed. Smectite clays such as bentonite and hectorite are base exchanged with organic amines or salts thereof such as triethanolamine hydrochloride. Quaternary ammonium compounds can also be used. The resulting compounds, which are therefore "organoclays", are added to the lattices of elastomers. The organoclays can be added to the latex of any elastomer including natural rubber, and a large list of polymers and/or copolymers is provided. The resulting compositions can be vulcanized.

Japan Laid Open Application S51(76)-109998, deriving from application SHO 50(1975)-3580 was published Sept 29, 1976, and is entitled "Method for Manufacturing a Clay-Polyamide Composite". It discloses a method for manufacturing a clay-polyamide composite characterized by carrying out the polymerization of lactam in the presence of a clay-organic compound composite made by carrying out ion exchange to bond an organic compound which contains at least one amino group and has the catalyst effect of polymerizing the lactam and clay. The organic compounds mentioned include omega-aminocaproic acid, a nylon salt, hexamethylenediamine, and aminodecanoic acid. The lactams include epsilon-

caprolactam and others such as omega-enantolactam, omega-capryllactam, and omega-lauro lactam. The clays used include the montmorillonite group of clay minerals such as montmorillonite, hectorite, etc; and other clays are listed.

Montmorillonite is preferred because of the high exchange capacity. The composite is made by first ion exchanging the clay with the organic compound under aqueous conditions, after which the which the suspension is washed, filtered and dried, then crushed. (This is essentially the conventional procedure for preparing an organoclay.) The "organoclay" and lactam are mixed, with the organoclay being 10 to 75 wt% of the mixture. During mixing the mixture is brought to 80-100 deg C to melt the lactam. Polymerization is carried out at 240 to 260 deg C. In the resulting composite product it is stated that the silicate layer has a thickness of 9.6 Angstroms. In a first example the interlayer distance of the organoclay layers before polymerization was 3.4 Angstroms, and 13.1 Angstroms after polymerization. In Example 4 the interlayer distance was 6.5 Angstroms before polymerization, and 50.6 Angstroms after polymerization. The composite produced is stated to have good fire-retardant properties, and improved mechanical properties.

Similarly, in Kawasumi et al., U.S. Patent No. 4,810,734 a process is disclosed wherein a smectite-type clay mineral is contacted with a swelling agent in the presence of a dispersion medium thereby forming a complex. The complex containing the dispersion medium is mixed with a monomer, and the monomer is then polymerized. The patent states that the swelling agent acts to expand the interlayer distance of the clay mineral, thereby permitting the clay mineral to take monomers into the interlayer space. The swelling agent is a compound having a onium ion and a functional ion capable of reacting and bonding with a polymer compound. Among the polymers utilizable are polyamide resins, vinyl polymers, thermosetting resins, polyester resins, polyamide resins and the like. Related disclosures are found in U.S. Patents Nos. 4,739,007 and 4,889,885.

In recent years the clay-polymer composite materials above discussed have been referred to as "nanocomposites", a term which reflects their property of exhibiting

ultrafine phase dimensions, typically in the range 1-100nm. The number of nanocomposites based on smectite-type clays and linear thermoplastics is growing. Wang and Pinnavaia, e.g., have reported delamination of an organically modified smectite in an epoxy resin by heating an onium ion exchanged form of

- 5 montmorillonite with epoxy resin to temperatures of 200-300°C. Chemistry of Materials, vol. 6, pages 468-474 (April, 1994). Similarly in United States Patent No. 5,554,670 an epoxy-silicate nanocomposite is disclosed which is prepared by dispersing an organically modified smectite-type clay in an epoxy resin together with diglycidyl ether of bisphenol-A (DGEBA), and curing in the presence of either nadic
10 methyl anhydride (NMA), and/or benzyldimethyl amine (BDMA), and/or boron trifluoride monoethylamine (BTFA) at 100-200°C. Molecular dispersion of the layered silicate within the crosslinked epoxy matrix is obtained, with smectite layer spacings of 100Å or more and good wetting of the silicate surface by the epoxy matrix. Additional recent references evidencing the increasing interest in
15 nanocomposites incorporating organoclays in polymer matrices include United States Patents Nos. 5,164,440; 5,385,776; 5,552,469; and 5,578,672.

Thus in a typical procedure for preparing a nanocomposite, the smectite clay, most commonly a montmorillonite, is treated with an organic ammonium ion to intercalate
20 the organic molecule between the silicate layers of the clay, thereby substantially swelling or expanding the interlayer spacing of the smectite. (The reaction product resulting from this treatment may in accordance with the foregoing discussion, be referred to herein as an "organoclay"). Thereafter the expanded silicate layers are separated or exfoliated in the presence of or with the assistance of a polymer with
25 which groups on the intercalated organic molecule are compatible. A monomer can also be used which is polymerized after being intermixed with the intercalated clay.

SUMMARY OF THE INVENTION

Now in accordance with the present invention, it has unexpectedly been discovered
5 that organoclays based on specific types of ester quats, are remarkably effective for
use in preparing nanocomposites. These organoclays comprise the reaction product of
a smectite clay and a quaternary ammonium compound (hereinafter simply "quat")
which comprises two esterified radicals (hereinafter called a "diester quat"). The
diester quat may be present in admixture with further quaternary ammonium
10 compounds having esterified radicals, especially compounds having three esterified
radicals (hereinafter "triester quats"); or compounds having a single esterified radical
(hereinafter "monoester quats"). Where such a mixture of quats is used, the reaction
is between the smectite clay and the quat mixture. The diester quat should be present
as greater than 55 wt% of the quaternary mixture; and the triester quat should be less
15 than 25 wt%, with the fatty acids corresponding to the esters in the mixture having a
degree of unsaturation such that the iodine value ("IV") is from about 20 to about 90.
More preferably in such a mixture the diester quat content is greater than 60 wt%, the
triester quat content is less than 20 wt%, and the IV is from about 30 to about 70. Yet
more preferably the diester quat content is greater than 62%, the triester quat content
20 is less than 17 wt%, and the IV is from about 40 to about 60, and more optimally from
about 45 to about 58.

BRIEF DESCRIPTION OF DRAWINGS

25 In the drawings appended hereto:

FIGURE 1 is a wide angle X-ray scan pattern for an organoclay in accordance with the
present invention;

30 FIGURE 2 is a wide angle X-ray scan pattern for a clay-polymer nanocomposite
prepared using the organoclay depicted in Figure 1;

FIGURE 3 is a wide angle X-ray scan pattern for a prior art ester quat-based organoclay;

FIGURE 4 is a wide angle X-ray scan pattern for a clay-polymer nanocomposite prepared using the organoclay the scan for which is depicted in Figure 2;

FIGURE 5 is a wide angle X-ray scan pattern for a prior art quat-based organoclay, where the quat does not include esterified radicals; and

FIGURE 6 is a wide angle X-ray scan pattern for a clay-polymer nanocomposite prepared using the organoclay the scan for which is depicted in Figure 5;

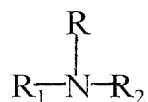
DETAILED DESCRIPTION OF THE INVENTION

The quaternary ammonium compounds which are reacted with the smectite clays to produce the organoclays of the present invention are high in diester and low in triester content. They are obtained by reaction of $C_{12} - C_{22}$ fatty acids or the hydrogenation products thereof, or a mixture of such acids, with an alkanolamine in the presence of an acid catalyst, wherein the ratio of fatty acid to alkanolamine is from about 1.40 to 2.0. The resultant ester amine reaction products are subsequently quaternized to obtain quaternary ammonium salts for reaction with the smectite. The fatty acid is preferably a $C_{16} - C_{22}$ acid containing a degree of unsaturation such that the iodine value ("IV") is in the range of from about 3-90, preferably, from about 20-90, more preferably in the range of 40-60 and still more preferably in a range of from about 45-55. Preferred fatty acids include but are not limited to oleic, palmitic, erucic, eicosanic, and mixtures thereof. Soy, tallow, palm, palm kernel, rape seed, lard, mixtures thereof and the like are typical sources for fatty acid which can be employed in this aspect of the invention.

It is also preferred that the fatty acid(s) employed in the present process have a cis to trans isomer ratio of from about 80:20 to about 95:5. More preferably, the trans

isomer content of said fatty acid(s) is less than about 10%. An optimum trans-isomer content is between about 0.5 - 9.9%. The most preferred fatty acid is a mixture of tallow/distilled tallow having a cis:trans isomer ratio of greater than 9:1.

- 5 The alkanolamines employable in the present invention generally correspond to the general formula:



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wherein R, R₁ and R₂ are independently selected from C₂ - C₆ hydroxyalkyl groups. Preferred alkanolamines include but are not limited to triethanolamine, propanol diethanolamine, ethanol diisopropanolamine, triisopropanol amine, diethanolisopropanol amine, diethanolisobutanolamine and mixtures thereof.

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The molar ratio of fatty acid to alkanol amine is generally in the range of from about 1.4 to 2.0, preferably from about 1.55 - 1.90, and more preferably, in the range of from about 1.65-1.75. Best results are usually obtained when the molar ratio is between about 1.68-1.72. The acid catalyst employable in the present process

- 20 includes, but is not limited to, acid catalysts such as sulphonic acid, phosphorous acid, p-toluene sulphonic acid, methane sulphonic acid, oxalic acid, hypophosphorous acid or an acceptable Lewis acid in an amount of 500-3000 ppm based on the amount of fatty acid charge. A preferred acid catalyst is hypophosphorous acid. Typically, 0.02 - 0.2 % by weight, and more preferably 0.1 to 0.15 % by weight of acid catalyst, based
25 on the weight of fatty acid, are employed in the present process.

- The esterification of fatty acids with alkanolamines is carried out at a temperature of from about 170° - 250°C until the reaction product has an acid value of below 5. After the esterification, the crude product is reacted with alkylating agents in
30 order to obtain the quaternary ammonium product. Preferred alkylating agents include C₁ - C₃ straight or branched chain alkyl halides, phosphates, carbonates, or sulfates,

C₇ - C₁₀ aralkyl halides, phosphates or sulfates, and mixtures thereof. Examples of preferred alkylating agents include but are not limited to methyl chloride, benzyl chloride, diethyl sulfate, dimethyl carbonate, trimethyl phosphate, dimethyl sulfate or mixtures thereof. Choosing the type and amount of alkylating agent employed is well within the skill of one in the art. Typically, when dimethyl sulfate is the alkylating agent, 0.7 to 1.0, preferably 0.75 to 0.98 mol dimethyl sulfate per mole of esteramine is satisfactory in yielding the quaternized product.

While such esterquats are typically prepared by reaction of the corresponding esteramine with dimethyl sulfate, applicants prefer to utilize an improvement to conventional quaternization processes. Dimethyl sulfate, a strong alkylating agent, is typically employed because of the excessively long reaction times encountered when weaker alkylating agents, such as methyl chloride, are employed. The quaternization reaction time can be significantly reduced, in many cases by 50% or more, if the esteramine mixture to be quaternized contains minimal amounts of triester component. By modifying esterification conditions, the amount of triesteramine component formed in the esteramine mixture can be minimized. Reducing the amount of triester component, by even a relatively small amount, can lead to a significant reduction in quaternization reaction time. This allows one to utilize weaker alkylating agents, such as methyl chloride, which is less expensive and less toxic, without the disadvantage of excessively long reaction times. Further, the performance of the final product is in no way impaired and, in fact, an improvement in performance is typical. Similar improvements with other alkylating agents have been observed.

Triester formation in the esteramine mixture can be minimized by accelerating the heat up rate in the esterification reaction of fatty acids with alkanolamines. Typically, the accelerated heat up rate of greater than about 0.4(C/minute, more

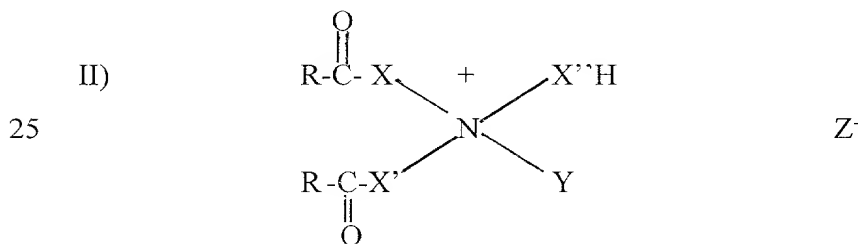
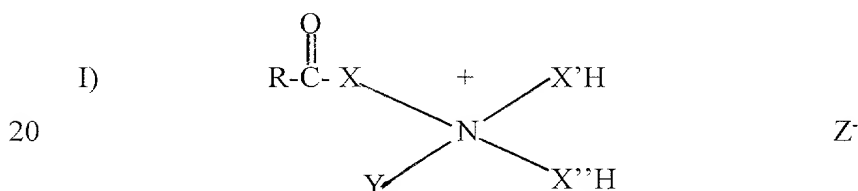
preferably greater than about 0.8(C/minute, and still more preferably greater than about 1.25(C/minute from a temperature of about 70°C to a temperature in a range of from between 170°C to 250°C is effective in minimizing triester formation in the ester amine mixture.

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The quaternization may be carried out in bulk or in solvent, at temperatures ranging from 60° - 120°C. If a solvent is employed, then the starting materials and/or product must be soluble in the solvent to the extent necessary for the reaction.

Solvents of this type are generally known in the art. Suitable examples include polar solvents such as, for example, lower alcohols, i.e., C₁ - C₆ alcohols. Other solvents which can be employed include, but are not limited to mono-, di-, and tri-glycerides, fatty acids, glycols and mixtures thereof.

The preferred quaternary ammonium salt for the invention comprises a mixture of mono - (I), di- (II) and triester (III) components of the following formulae:



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of from about 30 to about 70; and still more preferably, greater than about 62 wt% diester and less than about 17 wt% triester, with a total IV of from about 40 to about 60. In many instances triester content will be in the 10.0 to 17.0 wt% range. In a most preferred embodiment, the IV is between about 45 to about 58.

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The ratio of cis to trans double bonds of the above salts is preferably in the range of from about 80:20 to about 95:5. Preferably, the cis:trans ratio is greater than about 90:10. In a most preferred embodiment, the amount of trans isomer ideally in the range of from 5 to 9.5%.

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There are several convenient methods for obtaining the desired cis:trans ratio of the quaternary ammonium salt product. The preferred method is to produce the quaternary ammonium salt from a cis-isomeric and trans-isomeric fatty acids after adjusting said acids to the desired ratio.

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Another method is to produce the quaternary ammonium salt from the mixture after adjusting the ratio thereof by isomerizing a portion of the cis-isomeric fatty acid or ester thereof into the trans-isomer, in the presence of a metallic catalyst. Other methods are readily apparent to and well within the skill of one of ordinary skill in the art.

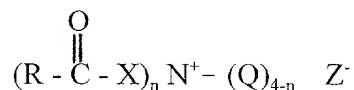
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The quaternary ammonium compounds according to the present invention can generally be prepared by reacting at least one C₁₂-C₂₂ fatty acid having a IV of from 20-90 with an alkanol amine in the presence of an acid catalyst. The ratio of acid to amine is preferably in the range of 1.4 to 2.0, and the reaction is carried out at a temperature of from about 170°C to about 250°C until the reaction product has an acid value of below about 5. A heat up rate of at least about 0.8°C per minute is employed in order to minimize triester formation. The esterification products are

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subsequently alkylated in order to obtain the quaternary ammonium product.

In another embodiment, the present invention contemplates a family of quaternary ammonium esters which are derived from ether alkanolamines. Said
 5 quaternary ammonium esters are of the general formula:



n is an integer of 1 or 2, R is a C₅ to C₂₃ straight or branched chain, optionally
 10 substituted alkyl group, each X can be the same or different and is selected from straight or branched chain, optionally substituted oxyalkylene or polyoxyalkylene groups having from 2-6 carbon atoms; each Q can be the same or different and is selected from a oxyalkylene or polyoxyalkylene group, or a straight or branched chain, optionally substituted alkyl, alkylene, alkylphenyl, hydroxyalkyl, hydroxyalkylene,
 15 wherein at least one of said Q groups is a C₂ to C₆ linear or branched chain oxyalkylene or polyoxyalkylene capped with a C₁ to C₆ alkyl, or an alkyl phenyl group; and Z⁻ is a compatible anion.

The above ester quat is generally prepared by reacting a fatty acid and/or fatty
 20 acid methyl ester as previously defined herein with an ether alkanolamine. The reaction is essentially the same as the reaction of said acid and/or said acid ester with an alkanolamine previously described herein, with an exception that the employment of a minimum heat up rate in order to achieve a high diester, low triester containing product is not necessary. More particularly, the reaction of fatty acid or fatty acid
 25 methyl ester with an ether alkanolamine produces only mono- and di-substituted ester products. This is because the ether group is non-reactive and does not lead to the formation of a tri-substituted species. Accordingly, the heat up rate which is an important requirement of the trialkanolamine based process is less important when

ether alkanolamines are employed as a reactant since the formation of tri-substituted species is not possible.

Further, employment of ether alkanolamines is beneficial in that they are more reactive with a broader range of alkylating agents, and the final products are easier to formulate and are more storage stable. Finally, the ratio of mono- and di-substituted species can be controlled by controlling the ratio of fatty acid/fatty acid methyl ester to ether alkanolamine.

A exemplary process for the preparation of a high diester quaternary ammonium mixture comprises reacting:

- I) a C₁₁ - C₂₃ substituted or unsubstituted fatty acid or mixture of fatty acids having an Iodine Value of from about 20 to about 90, and having less than about 20% trans double bonds, with
- II) an ether alkanolamine of the formula:



wherein R is a C₂ - C₆ alkyl ether, and each of R₁ and R₂ is independently selected from C₂ - C₆ hydroxyalkyl groups, wherein the molar ratio of said fatty acid to ether alkanol amine is from about 1.4 to about 2.0, preferably from about 1.6-1.9, and quaternizing the resultant ester amine mixture in order to obtain an improved high diester quaternary ammonium mixture.

Preferred ether alkanolamines are selected from the group consisting of methoxyethyldiethanolamine, methoxypropyldiethanolamine, methoxybutyldiethanolamine and mixtures thereof. The high diester quaternary ammonium mixture derived from ether alkanolamines in accordance with the present invention generally has a diester content of at least 70 wt%, preferably greater than

about 75 wt%, and still more preferably, greater than about 80 wt% on a 100 wt% active basis.

The compositions of the present invention having high diester content and low triester
5 content demonstrate superior performance particularly in preparation of nanocomposites as compared to typical ester amine quaternary compounds.

Preferably, the smectite is a natural or synthetic clay mineral selected from the group consisting of hectorite, montmorillonite, bentonite, beidelite, saponite, stevensite and
10 mixtures thereof. A particularly preferred choice of the smectite is hectorite.

In a preferable procedure for preparing the organoclay composition, the smectite mineral such as hectorite is crushed, ground, slurried in water and screened to remove grit and other impurities. The smectite is preferably converted to the sodium form if it
15 is not already in this form. This can be effected, as known in the art, by a cation exchange reaction, or the clay can be converted via an aqueous reaction with a soluble sodium compound. The smectite mineral is then subjected as a dilute (1 to 6% solids) aqueous slurry to high shearing in a suitable mill. Most preferred for use in this shearing step is a homogenizing mill of the type wherein high speed fluid shear of the
20 slurry is effected by passing the slurry at high velocities through a narrow gap, across which a high pressure differential is maintained. This type of action can e.g. be effected in the well-known Manton-Gaulin "MG") mill, which device is sometimes referred to as the "Gaulin homogenizer". Reference may be made to U.S. patents Nos. 4,664,842 and 5,110,501 (assigned to the assignee Southern Clay Products Inc.)for
25 further details of such mill. The conditions for use of the MG mill may in the present instance be substantially as in the said patents; e.g. the said pressure differential across the gap is preferably in the range of from 70,300 to 562,400 g/cm² with 140,600 to 351,550 g/cm² being more typical in representative operations. Depending upon the

specifics of the equipment, pressures higher than 562,400 g/cm² can readily be used. The slurry to be treated may be passed one or more times through the MG mill.

Among additional instrumentalities which can be effectively utilized in the present invention to provide high shearing of the clay component, is the rotor and stator

5 arrangement described in the assignee Southern Clay Products' U.S. Patent No. 5,160,454. Following the high shear step, the slurry is intermixed with the quaternary ammonium salt and the reaction slurry is preferably again subjected to high shearing by one or more passes through the MG or other mentioned instrumentalities. The slurry is thereupon dewatered, and the quaternary ammonium-treated clay dried and
10 ground to provide a dry organoclay product.

When used in composites such as nanocomposites, the organoclay compositions of the invention yield unexpected improvements in the mechanical and other properties of the composite, including with respect to tensile strength, tensile modulus and flex
15 modulus, all of which are highly significant attributes for the plastics and similar formulations.

The organoclays of the invention can be used in preparing nanocomposites by any of the methods which are set forth in the prior referenced patents, and with a large
20 variety of polymerizable resins such as polyamides, epoxy, polyvinyl, polyacrylamide, etc.

The invention will now be illustrated by examples, which are to be regarded as illustrative and not delimitative of the invention. Unless otherwise indicated to the
25 contrary, all parts and percentages are by weight.

Example 1

An organoclay composition in accordance with the invention was prepared from a smectite mineral clay which was processed as above described, i.e. crushed, ground, slurried in water and screened, converted to its sodium form, and then subjected to high shear by being passed as a dilute slurry through an MG mill, and then as a slurry treated with the quaternary ammonium compound in accordance with the invention. This quaternary composition was a diester quat in admixture with further quaternary ammonium compounds having esterified radicals, especially compounds having three esterified radicals (hereinafter "triester quats"); or compounds having a single esterified radical (hereinafter "monoester quats"). The reaction forming the organoclay was between the smectite clay and the quat mixture. The diester quat was present as greater than 55 wt% of the quaternary mixture; and the triester quat was present as less than 25 wt%, with the fatty acids corresponding to the esters in the mixture having a degree of unsaturation such that the iodine value ("IV") is from about 20 to about 90. A wide angle x-ray scan pattern for the product resulting from the reaction is shown in Figure 1, where the detected reflection intensity in counts/second is plotted against the D-spacing in Angstrom Units. The 001 reflection peak indicates a remarkably high D_{001} spacing for the organoclay of 59.1 Å, and suggests that the organoclay will exhibit a very high exfoliation efficiency in nanocomposites.

Example 2

5 wt% of the organoclay powder of Example 1 was premixed with high impact polystyrene ("HIPS") pellets by mechanical means. 50 to 60 g of this dry blend was added to a Brabender mixer which was then operated at 60 rpm. The temperature of the mixer was varied from 190° C to 230° C. The time of melt blending in the mixer

was varied from 15 minutes to one hour. At the end of the prescribed time, the molten mixture was extruded from the Brabender. The resulting nanocomposite sample was prepared for x-ray analysis by pressing the mixture in a Wabash press with the platens heated to 150° C. at a pressure of 7,500 to 10,000 p.s.i. for one minute. A 1-1/8" by 1-1/8" square was cut from the sample for analysis. The resulting wide angle x-ray scan pattern is shown in Figure 2. The D_{001} reflection peak of the organoclay is completely gone in this composite indicating very high exfoliation of the organoclay in the HIPS matrix.

10 Example 3

In this Example an organoclay sample was prepared using the procedure of Example 1, except that in this instance the quat used was a diester quat (based on hydrogenated tallow), which in part differs from the quat used in Examples 1 and 2 in including methyl groups on the remaining two -N bonds, whereas the Example 1 quat includes a hydroxyethyl group on one of the said remaining -N bonds. A wide angle x-ray scan pattern for the product resulting from the reaction is shown in Figure 3. The 001 reflection peak indicates a D_{001} spacing for the organoclay of 39.5Å, which is not as high as the sample of Example 1, although still suggesting that the organoclay will exhibit a reasonably high exfoliation efficiency in nanocomposites.

Example 4

The procedure of Example 2 was used in preparing a a nanocomposite , with the organoclay being that prepared in Example 3. The wide angle x-ray scan of this naoncomposite is shown in Figure 4. The D_{001} reflection peak of the organoclay is completely gone in this composite indicating high exfoliation of the organoclay in the

HIPS matrix. The peak in the curve marked as 34.2 is probably the 002 reflection. This would indicate an 001d spacing in the exfoliated clay of at least 70 Å.

Example 5

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In this Example an organoclay sample was prepared using the procedure of Example 1, except that the quat used was that disclosed for use in preparing the organoclays described in commonly assigned U.S. Patent No. 5,739,087, the contents of which is hereby incorporated by reference. The said quat is a branched chain structure, and is not an ester quat. The corresponding wide angle x-ray appears in Figure 5, from which it is seen that the 001 reflection peak indicates a D_{001} spacing for the organoclay of 19.0 Å, which is nowhere near the as high as the sample of Example 1, and neither as high as the spacing of the sample in Example 3. This indicates that the organoclay will exhibit a considerably lower exfoliation efficiency in nanocomposites.

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Example 6

The procedure of Example 2 was used in preparing a nanocomposite, with the organoclay being that prepared in Example 5. The wide angle x-ray scan of this nanocomposite is shown in Figure 6. The 001 reflection peak of the organoclay is seen to appear in the scan of this composite and indicates an spacing of 32.9 Å, which compared especially to the results of Example 2 and to a lesser extent Example 4, indicates a relatively inadequate exfoliation of the organoclay in the HIPS matrix.

25 While the present invention has been described in terms of specific embodiments thereof, it will be understood in view of the present disclosure, that numerous variations upon the invention are now enabled to those skilled in the art, which variations yet reside within the scope of the present teaching. Accordingly, the

invention is to be broadly construed, and limited only by the scope and spirit of the claims now appended hereto.

BEFORE ME

WHAT IS CLAIMED IS:

- 1 1. A clay-polymer nanocomposite comprising an organoclay which has been
2 exfoliated into a polymer matrix, the organoclay being the reaction product of a
3 smectite clay with a quaternary ammonium compound which comprises a diester quat.
- 4 2. The nanocomposite of claim 1, wherein the organoclay is the reaction
5 product of a smectite clay with a diester quat in admixture with further quaternary
6 ammonium compounds having esterified radicals.
- 1 3. The nanocomposite of claim 1, wherein the diester quat is in admixture with
2 further quaternary ammonium compounds which are selected from one or more
3 members of the group consisting of triester and monoester quats.
- 1 4. The nanocomposite of claim 1, wherein the diester quat is present as
2 greater than 55 wt% of the quaternary mixture.
- 1 5. The nanocomposite of claim 4, wherein any triester quat comprises less
2 than 25 wt% of the quaternary mixture.
- 1 6. The nanocomposite of claim 5, wherein the fatty acids corresponding to
2 the esters in the quaternary mixture have a degree of unsaturation such that the iodine
3 value ("IV") is from about 20 to about 90.

1 7. The nanocomposite of claim 6, wherein the diester quat content is greater
2 than 60 wt%, the triester quat content is less than 20 wt%, and the IV is from about 30
3 to about 70.

1 8. The nanocomposite of claim 7, wherein the diester quat content is greater
2 than 62%, the triester quat content is less than 17 wt%, and the IV is from about 40 to
3 about 60.

1 9. The nanocomposite of claim 8, wherein the IV is from about 45 to about
2 58.

1 10. An organoclay useful in preparing clay-polymer nanocomposites
2 comprising the reaction product of a smectite clay with a quaternary ammonium
3 compound which comprises a diester quat in admixture with further quaternary
4 ammonium compounds having esterified radicals.

1 11. The organoclay composition of claim 10, wherein the diester quat is in
2 admixture with further quaternary ammonium compounds which are selected from
3 one or more members of the group consisting of triester and monoester quats.

1 12. The organoclay composition of claim 11, wherein the diester quat is
2 present as greater than 55 wt% of the quaternary mixture.

1 13. The organoclay composition of claim 12, wherein a triester quat is present
2 and comprises less than 25 wt% of the quaternary mixture.

3 14. An organoclay composition in accordance with claim 13, wherein the
4 quaternary ammonium compound is the reaction product of C₁₂ - C₂₂ fatty acids or the

5 hydrogenation products thereof, or a mixture of such acids, with an alkanolamine in
6 the presence of an acid catalyst, wherein the ratio of fatty acid to alkanolamine is from
7 about 1.40 to 2.0.

1 15. The organoclay composition of claim 14, wherein the fatty acids
2 corresponding to the esters in the quaternary mixture have a degree of unsaturation
3 such that the iodine value ("IV") is from about 20 to about 90.

1 16. The organoclay composition of claim 15, wherein the diester quat content
2 is greater than 60 wt%, the triester quat content is less than 20 wt%, and the IV is
3 from about 30 to about 70.

1 17. The organoclay composition of claim 16, wherein the diester quat content
2 is greater than 62%, the triester quat content is less than 17 wt%, and the IV is from
3 about 40 to about 60.

1 18. The organoclay composition of claim 17, wherein the IV is from about 45
2 to about 58.

1 19. The organoclay composition of claim 10, wherein the smectite is selected
2 from the group consisting of hectorite, montmorillonite, bentonite, beidelite, saponite,
3 stevensite and mixtures thereof.

1 20. The organoclay composition of claim 19, wherein the smectite comprises
2 hectorite.

1 21. In the method for preparing a nanocomposite, by treating a smectite clay,
2 with an organic ammonium ion to intercalate the organic molecule between the

3 silicate layers of the clay, thereby substantially swelling or expanding the interlayer
4 spacing of the smectite; and exfoliating the expanded silicate layers in the presence of
5 or with the assistance of a polymer with which reactive groups on the intercalated
6 organic molecule are compatible, or in the presence of a monomer which is
7 polymerized after being intermixed with the intercalated clay; the improvement which
8 enables very high efficiency in the said exfoliation, comprising:
9 utilizing as the source of the organic ammonium ion a quaternary ammonium
10 compound which comprises a diester quat.

1 22. The method of claim 21, wherein the diester quat is in admixture with
2 further quaternary ammonium compounds having esterified radicals.

1 23. The method of claim 22, wherein the diester quat is in admixture with
2 further quaternary ammonium compounds which are selected from one or more
3 members of the group consisting of triester and monoester quats.

1 24. The method of claim 23, wherein the diester quat is present as greater than
2 55 wt% of the quaternary mixture.

1 25. The method of claim 23, wherein a triester quat is present and comprises
2 less than 25 wt% of the quaternary mixture.

1 26. The method of claim 25, wherein the quaternary ammonium compound is
2 the reaction product of C₁₂ - C₂₂ fatty acids or the hydrogenation products thereof, or a
3 mixture of such acids, with an alkanolamine in the presence of an acid catalyst,
4 wherein the ratio of fatty acid to alkanolamine is from about 1.40 to 2.0.

5 27. The method of claim 26, wherein the fatty acids corresponding to the
6 esters in the quaternary mixture have a degree of unsaturation such that the iodine
7 value ("IV") is from about 20 to about 90.

1 28. The method of claim 27, wherein the diester quat content is greater than
2 60 wt%, the triester quat content is less than 20 wt%, and the IV is from about 30 to
3 about 70.

1 29. The method of claim 28, wherein the diester quat content is greater than
2 62%, the triester quat content is less than 17 wt%, and the IV is from about 40 to
3 about 60.

1 30. The method of claim 29, wherein the IV is from about 45 to about 58.

ABSTRACT

Organoclays based on specific types of ester quats are found to be remarkably effective for use in preparing nanocomposites. These organoclays comprise the reaction product of a smectite clay and a quaternary ammonium compound (or “quat”) which comprises two esterified radicals (hereinafter called a “diester quat”).

- 5 The diester quat may be present in admixture with further quaternary ammonium compounds having esterified radicals, especially compounds having three esterified radicals (hereinafter “triester quats”); or compounds having a single esterified radical (hereinafter “monoester quats”). Where such a mixture of quats is used, the reaction is between the smectite clay and the quat mixture. The diester quat should be present
- 10 as greater than 55 wt% of the quaternary mixture; and any triester quat should be less than 25 wt%, with the fatty acids corresponding to the esters in the mixture having a degree of unsaturation such that the iodine value (“IV”) is from about 20 to about 90.

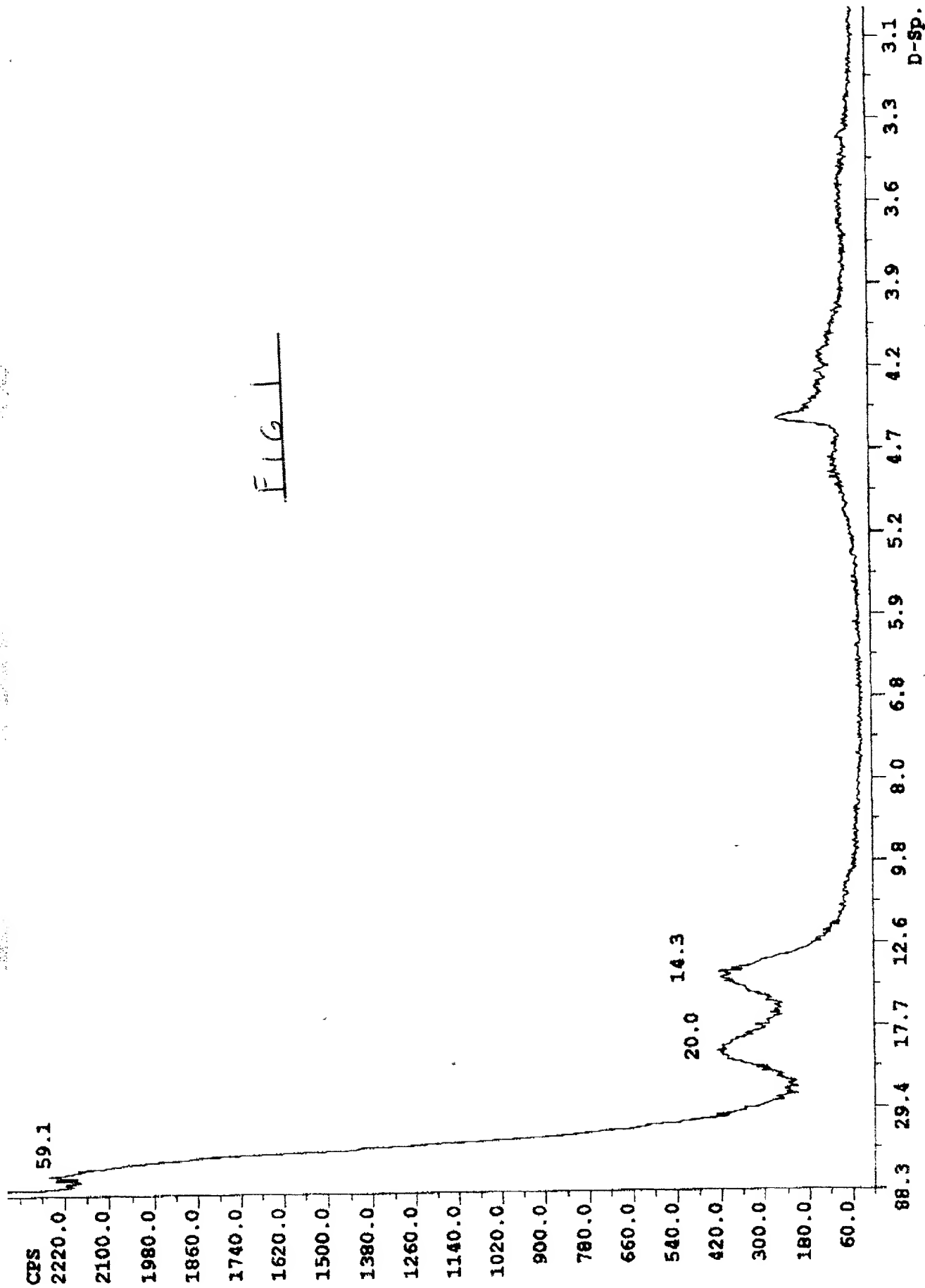
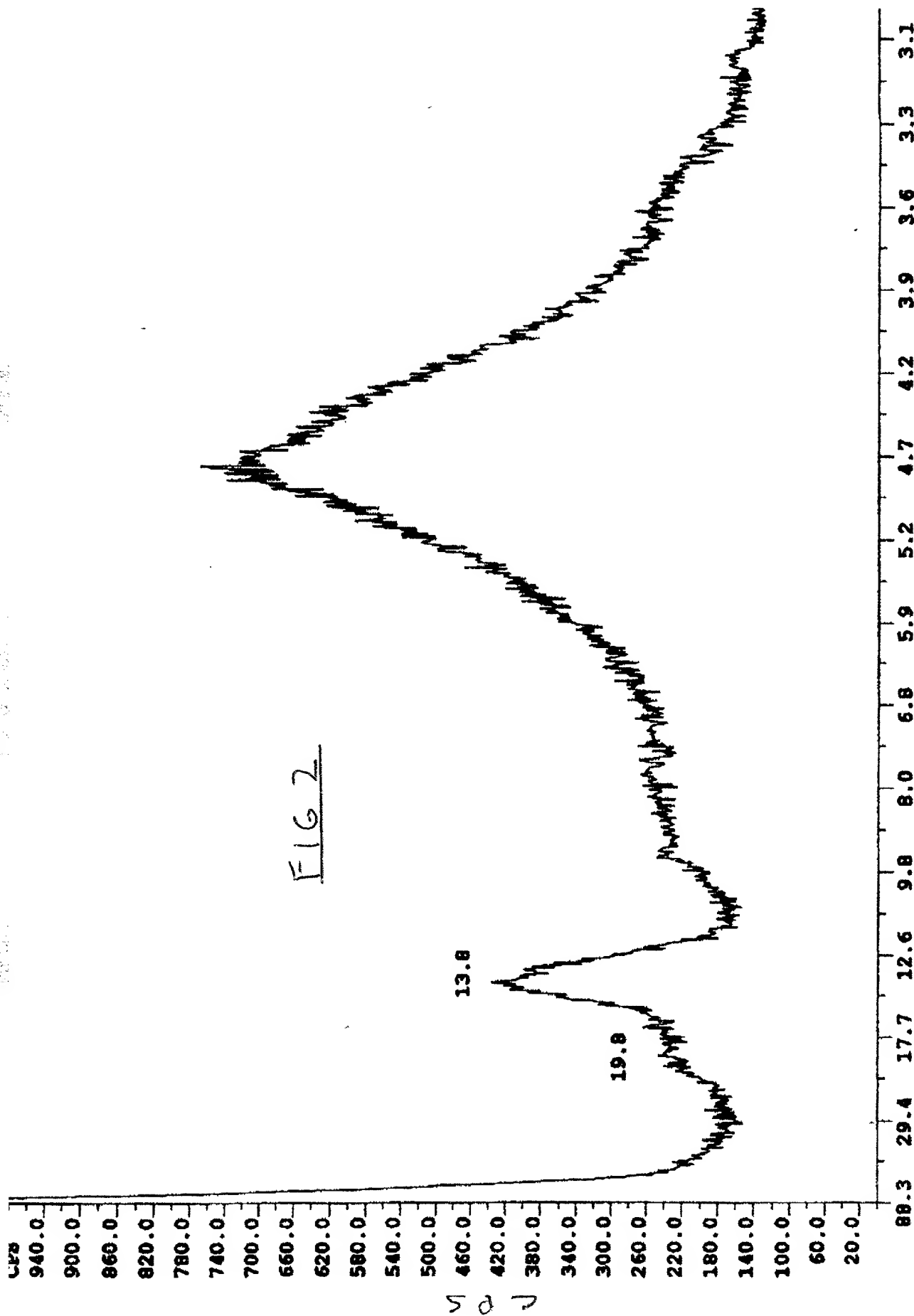


FIG 1

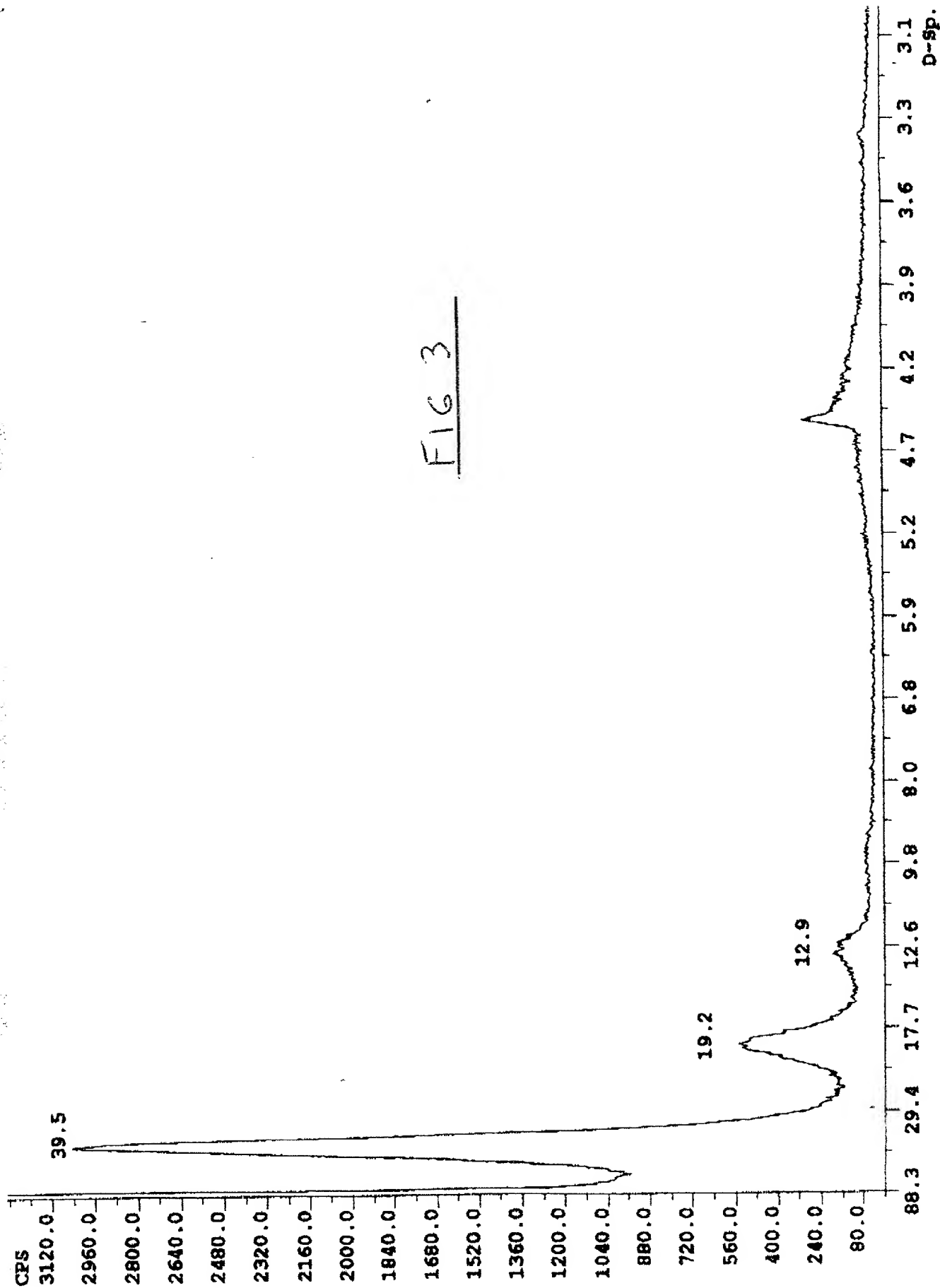
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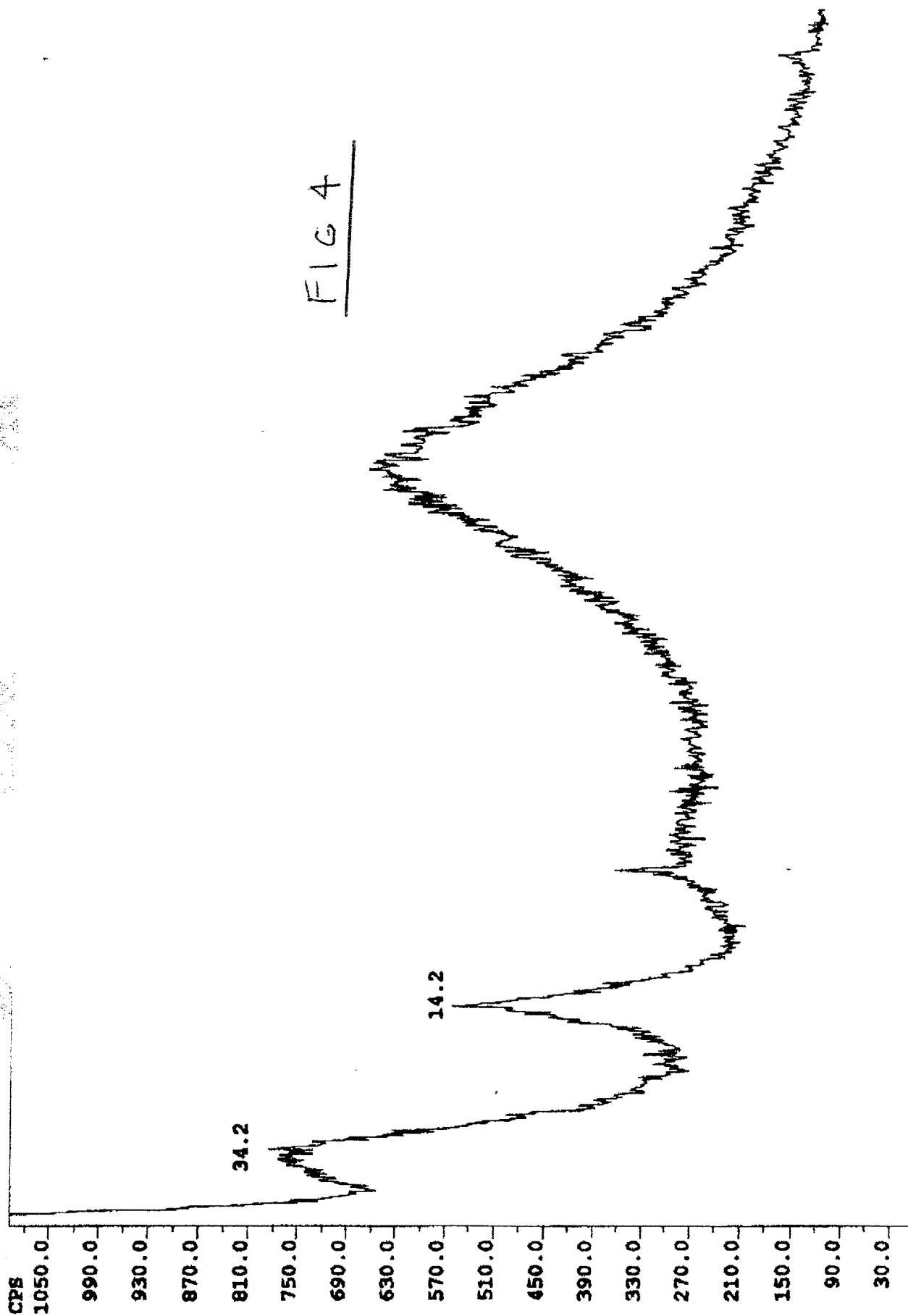
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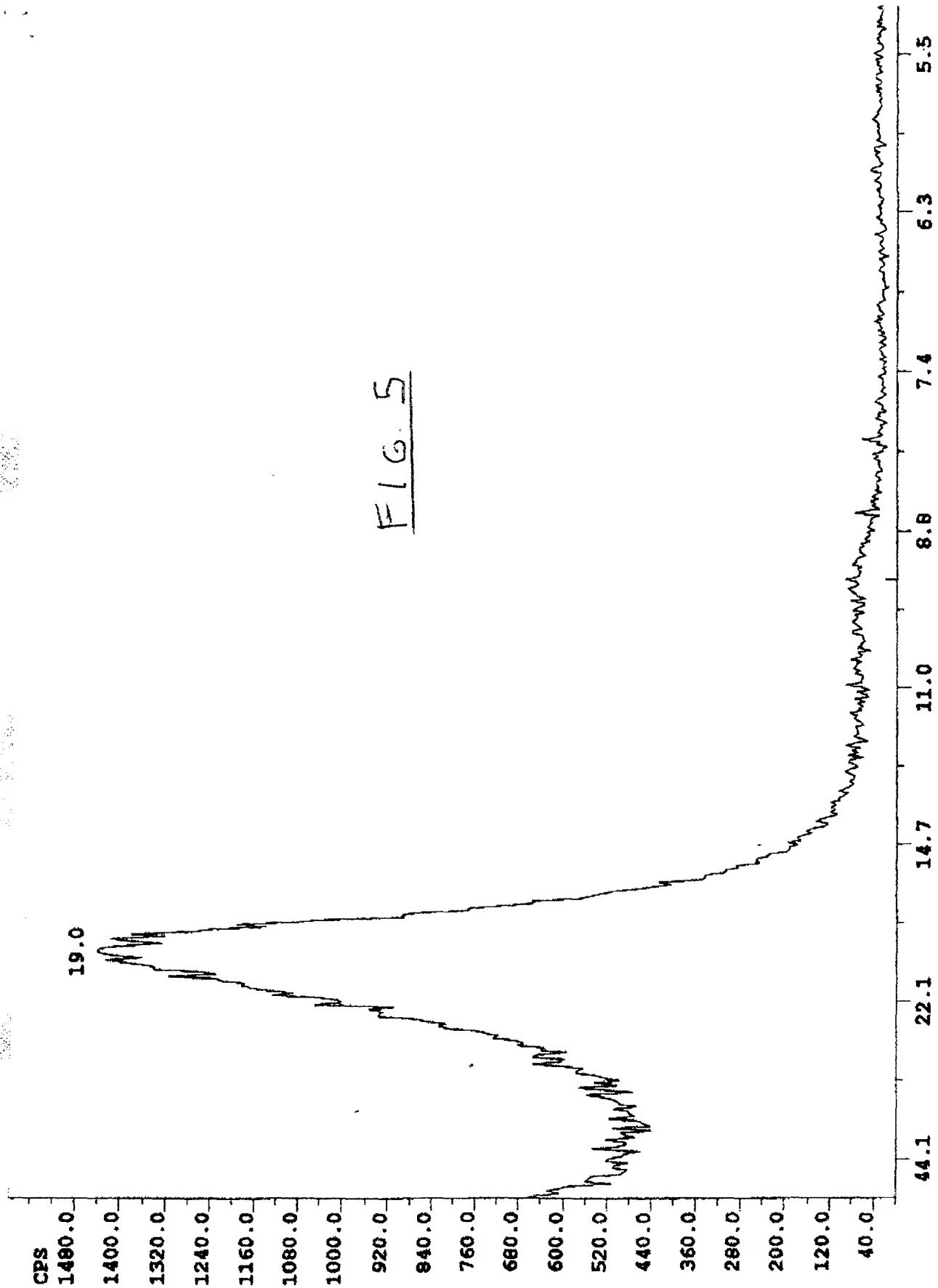
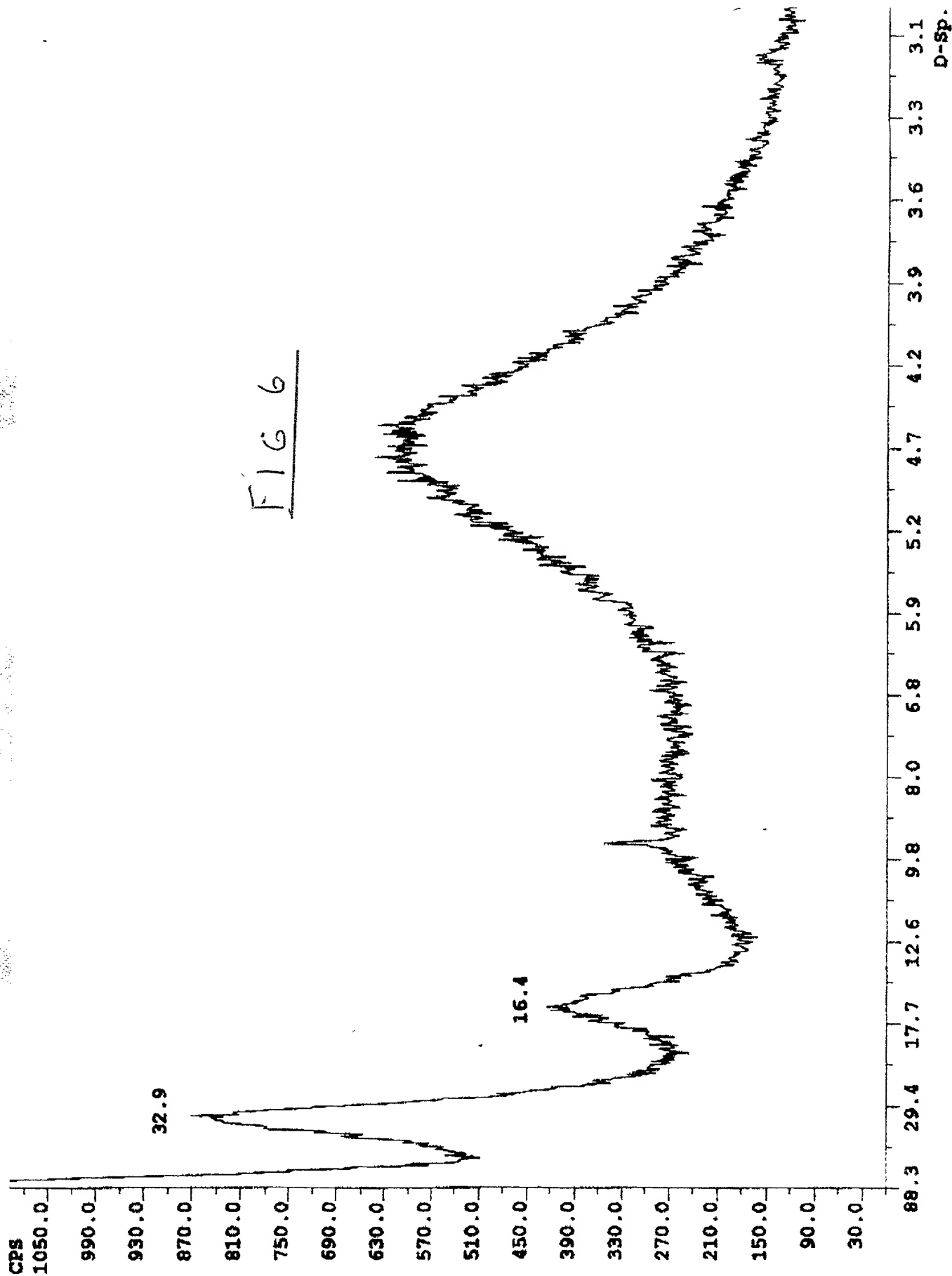


FIG. 5

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DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As below named inventors, we hereby declare that:

Our residence, post office address and citizenship are as stated below under my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

ORGANOCLAY COMPOSITIONS PREPARED FROM ESTER QUATS AND COMPOSITES BASED ON THE COMPOSITIONS

the Specification of which

☒ is attached hereto
☐ was filed on _____
as Application Serial No. _____
and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified Specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

<u>APPLICATION</u>	<u>PRIOR FOREIGN FILED APPLICATION(S)</u>	<u>PRIORITY</u>
<u>NUMBER</u>	<u>COUNTRY</u> <u>(MM/DD/YYYY)</u>	<u>CLAIMED</u>

NONE

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

<u>APPLICATION NUMBER(S)</u>	<u>FILING DATE (MM/DD/YYYY)</u>
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NONE

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. PARENT APPLN. NO.	PCT PARENT NO.	PARENT FILING DATE (MM/DD/YYYY)	PARENT PATENT NO. (if applicable)
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NONE

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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DATE _____